IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| tion of: Richard Lawn, et al. | Group Art Unit: 2125 |
|--|--|
| 09/971,976 | Examiner: Paul L. Rodriquez |
| October 4, 2001 | |
| System and Method for On-Line) Color Algorithm Exchange) | |
| | 09/971,976) October 4, 2001) System and Method for On-Line) |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

| Sir: |
|---|
| In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby |
| notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the |
| attached Form PTO-SB/08A. One copy of the listed documents is submitted herewith. |
| (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is |
| being filed (check all that apply): |
| (a) Within three months of the filing date of a national application other than a |
| continued prosecution application under § 1.53(d); OR |
| (b) Within three months of the date of entry of the national stage as set forth in § |
| 1.491 in an international application; OR |
| (c) Before the mailing of a first Office action on the merits; OR |
| (d) Before the mailing of a first Office action after the filing of a request for |
| continued examination under § 1.114. |
| DALLIB1, 493959.1 |
| 04 SDIRETA1 00000086 09971976 |

06/16/200

180.00 OP

| $\underline{\mathbf{X}}$ (2) This information disclosure statement is filled before the mailing date of a final |
|---|
| action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes |
| prosecution in the application, and (check only one): |
| X (a) Is accompanied by the fee set forth in § 1.17(p); OR |
| (b) Each item of information contained in the information disclosure statement was |
| first cited in any communication from a foreign patent office in a counterpart foreign application |
| not more than three months prior to the filing of the information disclosure statement; OR |
| (c) No item of information contained in the information disclosure statement was |
| cited in a communication from a foreign patent office in a counterpart foreign application, and to |
| the knowledge of the person signing the certification after making reasonable inquiry, no item of |
| information contained in the information disclosure statement was known to any individual |
| designated in § 1.56(c) more than three months prior to the filing of the information disclosure |
| statement. |
| (3) This Information disclosure statement is filed on or before payment of the issue |
| fee and is accompanied by the fee set forth in § 1.17(p); AND (check only one): |
| (a) Each item of information contained in the information disclosure statement was |
| first cited in any communication from a foreign patent office in a counterpart foreign application |
| not more than three months prior to the filing of the information disclosure statement; OR |
| (b) No item of information contained in the information disclosure statement was |
| cited in a communication from a foreign patent office in a counterpart foreign application, and to |
| the knowledge of the person signing the certification after making reasonable inquiry, no item of |
| information contained in the information disclosure statement was known to any individual |

designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Enclosed herewith is a check in the amount of \$180. Please charge any deficiencies in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference 32164689.10).

Respectfully submitted,

James H. Ortega Reg. No. 50,554

Attorney for Applicant

Date

BAKER & McKENZIE

2300 Trammell Crow Center

2001 Ross Avenue

Dallas, TX 75201

(214) 978-3007 (direct)

(214) 978-3099 (facsimile)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

PRADE PE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | |
|------------------------|-------------------|--|--|
| Application Number | 09/971,976 | | |
| Filing Date | October 4, 2001 | | |
| First Named Inventor | Richard Lawn | | |
| Art Unit | 2125 | | |
| Examiner Name | Paul L. Rodriquez | | |
| Attorney Docket Number | 32164689 10 | | |

| | | Y | | DOCUMENTS | |
|-----------------------|--------------------------|--|------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear |
| | | ^{US-} 5,764,800 | 03-31-1998 | Herbert, et al. | |
| | | ^{US-} 6,058,357 | 05-02-2000 | Granger, et al. | |
| | | ^{US-} 5,991,739 | 11-23-1999 | Cupps, et al. | |
| | | ^{US-} 6,081,253 | 06-27-2000 | Luke, et al. | |
| | | US- | | | |
| - | | US- | | | |
| | | US- | | | |
| - | | US- | | | |
| | | US- | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|---|---------------------|--|---|---|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | |
| | | Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known) | MM-DD-YYYY | Or Relevant Figures Appear | T ⁶ | | |
| | | EP 0 855 687 A2 | 07-29-1998 | AT&T Corp. | | | |
| | | WO 98/18093 A1 | 04-30-1998 | DCI Datenbank | | | |
| | ļ | | | | | | |
| | | | | | | | |
| | | | | | | L | |
| | İ | | | i | | | |

| Examiner | Date | - |
|-----------|------------|---|
| Signature | Considered | |
| | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.